

Government of Tuvalu

Tuvalu Health System Strengthening Project (P175170)

ENVIRONMENTAL and SOCIAL COMMITMENT PLAN (ESCP)

[March 2022]

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Government of Tuvalu (hereinafter the *Recipient*) will implement the *Tuvalu Health System Strengthening Project* (the **Project**), with the involvement of the Ministry of Health, Social Welfare and Gender Affairs (MoH). The MoH will lead and coordinate with other government ministries/agencies/units on Project implementation as may be necessary. The International Development Association (hereinafter the *Association*) has agreed to provide financing for the Project.
2. The Recipient will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. The Recipient will also comply with the provisions of any other E&S documents required under the Environmental and Social Framework (ESF) and referred to in this ESCP, principally the Environmental and Social Management Plan (ESMP) and the other subsidiary instruments, attached and referred to therein, such as the Stakeholder Engagement Plan (SEP), the Labor Management Procedures (LMP), the Waste Management Guidelines (WMG), the Infection Prevention and Control Plan (IPCP) as well as the measures, requirements and timelines specified in those instruments.
4. The Recipient is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry referenced in 1. above.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the *Association* by the Recipient as required by the ESCP and the conditions of the legal agreement, and the *Association* will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed by the *Association* and the Recipient, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the MoH will agree to the changes with the *Association* and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the *Association* and the MoH. The MoH will promptly disclose the updated ESCP.
7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Recipient shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts on human health and/ or the environment.

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS		
<p>1.1 ORGANIZATIONAL STRUCTURE The Ministry of Health Social Welfare and Gender Affairs’s (MoH) Project Management Unit (PMU) shall engage a qualified staff who would act as the project's national E&S Safeguard Officer, and who together with the E&S management experts within the Central Project Management Office (CPMO) (as engaged by Ministry of Finance and Economic Development) will support the Project Manager in the management of ESHS risks and impacts of the Project.</p>	<p>The E&S Officer shall be appointed no later than 60 days after the project effectiveness and before the carrying out of the relevant Project activities.</p>	<p>MoH Ministry of Finance and Economic Development (MFED)</p>
<p>1.2 ENVIRONMENTAL AND SOCIAL ASSESSMENT The MoHSWAGA shall further define, flesh out and specify the project design and activities, including the CERC component, in consultation with stakeholders including individuals and groups who, because of their health and socio-economic circumstances, may be disadvantaged or vulnerable, to ensure that they will have access to the development benefits resulting from the project. The MoHSWAGA shall, based on the improved and adequately fleshed out design specifications, undertake further assessments of the Environmental and Social Impacts and Risks of any revisions or any new activity specifications, and shall accordingly update the Preliminary ESMP into a final ESMP.</p>	<p>The additional assessments and updating of the ESMP and its subsidiary instruments shall be undertaken in parallel to the conduct of project planning (i.e., further refinement of the project designs and specification of activities) and consultations with stakeholders prior to any civil works and procurement. The final ESMP and its subsidiary instruments shall be finalized within 10 days after the Project Design has been approved Procurement of goods and consultancy activities can be initiated as soon as the project is effective, however the distribution of goods should not commence prior to the finalization of the ESMP. Any activities that have been screened for environmental and social risks will not be carried out without the completed, consulted, and disclosed ESMP</p>	<p>MoH</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.3	In further defining and specifying the project's design and activities, the MoHSWAGA shall take into consideration the proposed mitigation measures listed in the Preliminary ESMP for the following risks: (i) risk of inundation of the health care facilities during cyclone and coastal flooding events; (ii) risk of environmental contamination due to lack or inadequate onsite wastewater treatment; (iii) risk of service interruptions due to inadequacy of water supply system; (iv) risk of service interruptions due to inadequacy of power supply system; (v) risk of contamination of the environment with healthcare wastes; (vi) risk of fire on the health care facility; (vii) lack of universal accessibility of the facilities.	The additional assessments and updating of the ESMP and its subsidiary instruments shall be undertaken in parallel to the conduct of project planning (i.e., further refinement of the project designs and specification of activities) and consultations with stakeholders.	MoH
1.4	The MoHSWAGA shall undertake a more focused assessments of the project's risks and impacts based on the specified project design, which already incorporates design considerations addressing the risks enumerated in 1.3, and the detailed activities; and based on the results of the assessments, shall update the project's Environmental and Social Management Plan (ESMP) and other subsidiary instruments, including the preparation of TORs for the following operations systems TAs: (i) Infection Prevention and Control Plan/System (IPC); (ii) Healthcare Waste Management (HCWM) Plan/System. (iii) HCF Disaster Preparedness and Response Plan; (iv) HCF Fire Prevention and Evacuation Plans; (v) Hazardous Spill Prevention, Containment and Clean Up Procedure; (vi) Water Conservation and Water Supply Contingency/Drought Scenario Plan; (vii) Power Interruption Contingency and Energy Conservation Plan.	The final ESMP, SEP and other subsidiary E&S instruments, including the various TORs for the operation systems TAs, shall be approved, adopted and publicly disclosed at least fifteen (15) days prior the start of any physical construction activities.	MoH
1.5	Incorporate the relevant aspects of this ESCP, including, inter alia, any environmental and social management plans or other instruments, ESS2 requirements, and any other required environment, social, health and safety (ESHS) measures, into the ESHS specifications of the procurement of goods and services documents or in the supply contracts with suppliers and providers. Thereafter ensure that the contractors and supervising firms comply with the ESHS specifications of their respective contracts.	Procurement specifications shall be reviewed and cleared by the E&S Safeguards Officer of the PTU and the E&S experts of the CPMO prior to tender.	MoH

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.6	<p>MONITORING AND REPORTING</p> <p>Regular Reporting. Prepare and submit to the Association regular monitoring reports on the status of the implementation of this ESCP, including the status of preparation, updates, and implementation of the ESMP, and other subsidiary instruments such as the stakeholder engagement. The regular monitoring reports shall also include evidence-based appraisal of environmental, social, health and safety (ESHS) performance of the Project, the functioning of the grievance mechanism(s) including the grievances log.</p>	Every six months, throughout Project implementation. The report should be available in time for the regular World Bank Implementation Support Mission.	MoH
1.7	<p>Incidents and Accidents. Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers.</p>	Minor incidents will be reflected in the six-monthly reports to the Association, major incidents will be reported to the Association within 24 hours ¹ . A report will be provided to the Association, as requested.	MoH
1.8	<p>Provide sufficient details regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Association’s request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.</p>	Minor incidents will be reflected in the six-monthly reports to the Association, major incidents will be reported to the Association within 24 hours. A report will be provided to the Association, as requested.	MoH

¹Clarification as to what is a minor incident and what is a major incident will be provided in the updated ESMP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.9	<p>MANAGEMENT OF CONTRACTORS</p> <p>Incorporate the relevant aspects of the ESCP, including the relevant E&S documents and/or plans, and the Labor Management Procedures, into the ESHS specifications of the procurement documents with contractors. Thereafter ensure that the contractors comply with the ESHS specifications of their respective contracts.</p>	<p>Before commencing the procurement process for the relevant Project activities, and thereafter throughout the carrying out of such activities.</p>	MoH
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Update, adopt, and implement the Labor Management Procedures (LMP) that have been developed for the Project.</p>	<p>The LMP shall be finalized, disclosed and adopted no later than 90 days after the Effective Date and before the carrying out of the relevant Project activities.</p> <p>All measures specified in the LMP shall be implemented throughout the Project implementation period.</p>	MoH
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Establish, maintain, and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.</p>	<p>Update, adopt and implement the Grievance Redress Mechanism no later than 90 days after the Effective Date and throughout Project Implementation.</p>	MoH
2.3	<p>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</p> <p>Prepare, adopt, and implement occupational, health and safety (OHS) measures specified in the ESMP.</p>	<p>Final instruments to be prepared, disclosed and adopted prior to the commencement of relevant Project activities.</p> <p>All OHS measures specified in the ESMP shall be implemented throughout the Project implementation period.</p>	MoH
2.4	<p>CHILD LABOUR AND MINIMUM AGE</p> <p>Prohibit the engagement and/or use of child labor (i.e., persons below 14 years of age) in any of the project activities.</p>	<p>Throughout the Project Implementation Period</p>	MoH
2.5	<p>Prohibit the hiring of people below 18 years of age in the construction and other hazardous work situations.</p>	<p>Throughout the Project Implementation Period</p>	MoH

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.6	Undertake due diligence on project suppliers regarding the risk of involvement or use of child labor in production of the goods and materials supplied to the project.	Throughout the Project Implementation Period	MoH
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND			
3.1	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT Ensure that the more focused assessments of the environmental and social impacts and risks and the updating of the Preliminary ESMP described under Action 1.2 above shall cover risks and impacts relating to the World Bank ESS3 (Resource Efficiency and Pollution Prevention and Management Standard). .	Prior to the commencement of relevant Project activities.	MoH MFED
3.2	GENERAL CONSIDERATIONS Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including in the assessment and undertaking of necessary improvements of: (i) the onsite wastewater systems; (ii) the water supply systems; (iii) the power supply systems; and (iv) the health care waste management systems of the individual health care facilities.	Relevant pollution control and resource conservation measures shall be undertaken during the implementation period and in the operations phase of the project.	MoH MFED
3.3	MEASURES DURING CONSTRUCTION AND CIVIL WORKS The MoH shall implement the relevant management measures as provided in the final ESMP, including pollution control measures in the construction and demolition activities. The MoH shall require Contractors of any civil works to prepare their own contractors ESMP (CESMP) which shall be reviewed and approved by E&S Specialist of the PTU and the CPMO.	During construction and implementation phase of the project	MoH MFED
3.4	HEALTHCARE WASTE MANAGEMENT The development of country's HCWM system (under Component 2), shall be guided by the TOR prepared under item 1.2(b) and shall conform with the World Bank ESHG for Health Care Facility and GIIP.	During operations of the health care facilities and the strengthened health system	MoH
3.5	WATER AND ENERGY CONSERVATION The project shall include the development and adoption of: (i) a Water Conservation and Water Supply Contingency/Drought Scenario Plan; and (ii) a Power Interruption Contingency and Energy Conservation Plan, as provided in Action 1.2 above.	During operations of the health care facilities and the strengthened health system	MoH
ESS 4: COMMUNITY HEALTH AND SAFETY			

4.1	<p>COMMUNITY HEALTH AND SAFETY</p> <p>The Recipient shall ensure that the more focused assessments of the environmental and social impacts and risks and the updating of the Preliminary ESMP described under Action 1.2 above shall cover risks and impacts relating to World Bank ESS4 (Community Health and Safety standard).</p>	During the updating of the preliminary ESMP. Prior to the commencement of relevant Project activities.	MoH
4.2	<p>MEASURES AGAINST RISK OF COASTAL FLOODING AND CYCLONE</p> <p>The design of the healthcare facilities (i.e., the PMH hospital and outer island clinics) and their ancillary facilities (i.e., wastewater treatment, healthcare waste disposal, water supply, power supply, etc.) shall take into considerations the design measures identified in the Preliminary ESMP, against the risks of coastal flooding. The final design measures adopted shall be described in the final ESMP.</p>	During project planning and detailed design preparation. The design measures should be finalized prior to the updating of the ESMP into a final ESMP.	MoH
4.2	<p>The project shall include the development and adoption of Disaster Preparedness and Response Plan (DPRP) focusing on coastal flooding and/or cyclone events for each of the HCFs and the entire network of HCFs. The TOR for the TA of the development of this plan shall be included in the final ESMP.</p>	During project planning and detailed design preparation. The TOR for the development of the DPRP should be included in the final ESMP. The DPRP should be completed and adopted prior to the closure of the project.	MoH
4.4	<p>MEASURES AGAINST RISK OF FIRE AT HCF</p> <p>The design of the healthcare facilities (i.e., the PMH hospital and outer island clinics) and their ancillary facilities shall take into considerations the design measures identified in the Preliminary ESMP, against the risks of fire. The final design measures adopted shall be described in the final ESMP.</p>	During project planning and detailed design preparation. The design measures should be finalized prior to the updating of the ESMP into a final ESMP.	MoH
4.5	<p>The project shall include the development and adoption of a Fire Prevention and Evacuation Plan (RPEP) as identified in Action 1.2 above. The TOR for the TA in the development of such a plan shall be included in the final ESMP.</p>	During project planning and detailed design preparation. The TOR for the development of the FPEP should be included in the final ESMP. The FPEP should be completed and adopted prior to the operation of the Health Care Facility.	MoH
4.6	<p>MEASURES AGAINST RISK OF WATER SUPPLY INTERRUPTION</p> <p>The design of health care and ancillary facilities that will be funded under the project shall take into considerations the design measures suggested in the Preliminary ESMP against the risk of water supply interruption. Final design measures adopted shall be described in the final ESMP.</p>	During project planning and detailed design preparation. Design measures should be finalized prior to the updating of the ESMP into a final ESMP.	MoH

4.7	The project shall include the development and adoption of a Water Conservation and Drought Scenario Plan. The TOR for the TA in the development of this plan shall be included in the final ESMP.	During project planning and detailed design preparation. The TOR for the development of the Water Conservation and Drought Scenario Plan should be included in the final ESMP. The Plan should be completed and adopted prior to the closure of the project.	MoH
4.8	MEASURES AGAINST RISK OF POWER SUPPLY INTERRUPTION The design of health care and ancillary facilities that will be funded under the project shall take into considerations the design measures suggested in the Preliminary ESMP against the risk of power supply interruptions. Final design measures adopted shall be described in the final ESMP.	During project planning and detailed design preparation. Design measures should be finalized prior to the updating of the ESMP into a final ESMP.	MoH
4.9	The project shall include the development and adoption of a Power Supply Interruption Contingency Plan. The TOR for the TA in the development of this plan shall be included in the final ESMP.	During project planning and detailed design preparation. The TOR for the development of the Power Supply Interruption and Contingency Plan should be included in the final ESMP. The Plan should be completed and adopted prior to the closure of the project.	MoH
4.10	MEASURES AGAINST RISK OF ACCIDENTAL SPILL OF HAZARDOUS MATERIALS The design of health care and ancillary facilities that will be funded under the project shall take into considerations the design measures suggested in the Preliminary ESMP against the risk of accident spill of hazardous materials. Final design measures adopted shall be described in the final ESMP.	During project planning and detailed design preparation Design measures should be finalized prior to the updating of the ESMP into a final ESMP.	MoH
4.11	The project shall include the development and adoption of a Spill Prevention, Containment and Clean Up Protocol. The TOR for the TA in the development of this protocol shall be included in the final ESMP.	During project planning and detailed design preparation. The TOR for the development of the Power Supply Interruption and Contingency Plan should be included in the final ESMP. The Plan should be completed and adopted prior to the closure of the project.	MoH

ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	Not relevant		
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	Not relevant		
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	Not relevant		
ESS 8: CULTURAL HERITAGE			
8.1	The Recipient shall ensure that the Chance Find Procedure in the preliminary ESMP is final in the final ESMP and the final version is adopted.		
ESS 9: FINANCIAL INTERMEDIARIES			
9.1	Not relevant		
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION The Recipient shall update, disclose, adopt, and implement the Stakeholder Engagement Plan (SEP) consistent with ESS10, in a manner acceptable to the Association.	Update, disclose, and adopt the preliminary SEP no later than 60 days after the Effective Date, and implement the SEP throughout the Project implementation period	MoH
10.2	PROJECT GRIEVANCE MECHANISM Prepare, adopt, maintain and operate a grievance mechanism, as described in the SEP.	No later than 90 days after the Effective Date and throughout Project implementation.	MoH
CAPACITY SUPPORT (TRAINING)			
CS1	Train the MoH PMU and other relevant implementing support staff responsible on the Project's ESF instruments, CoC and provisions to prevent SEA/SH, the relevant requirements of the ESMF, ESIA and other instruments to be prepared under action 1.2 above, and the roles and responsibilities of key agencies in the ESF implementation.	Within 90 days of the Effective Date and as a new Project team member join the Project throughout implementation.	MoH MFED

CS2	Contractors to receive training on the relevant aspects of the Project ESF instruments and relevant ESHS requirements.	Prior to work commencing and throughout Project implementation.	MoH MFED
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