

**Tuvalu**  
**Preparation of Proposed Pacific Islands Regional  
Oceanscape Program for Economic Resilience  
(PROPER) P179599**

**Project Preparation Advance**

**Negotiated**  
**ENVIRONMENTAL and SOCIAL**  
**COMMITMENT PLAN (ESCP)**

**23/05/2023**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. Tuvalu (the Recipient) is planning to implement the proposed Pacific Islands Regional Oceanscape Program for Economic Resilience (PROPER) Project (the Project) with the involvement of the Tuvalu Fisheries Department, Ministry of Fisheries and Trade as the Implementing Agency, for which it has requested a Project Preparation Advance (PPA), as set out in the PPA agreement. The International Development Association (hereinafter the World Bank), has agreed to provide the PPA to finance activities (the Activities) related to the preparation of the Project, as set out in the referred agreement.
2. The Recipient shall ensure that the Activities are carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the World Bank. The ESCP is a part of the PPA agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement(s).
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted under the Activities, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the World Bank.
4. As agreed by the World Bank and Recipient, this ESCP will be revised from time to time if necessary, during the implementation of the Activities, to reflect adaptive management of changes and unforeseen circumstances related to the Activities or in response to assessment of performance of the Activities. In such circumstances, the Recipient through the Tuvalu Fisheries Department, Ministry of Fisheries and Trade and the World Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the World Bank and the Recipient's Minister of Ministry of Fisheries and Trade. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the World Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Activities, including but not limited to the implementation of the ESCP, status of E&amp;S instruments under preparation, stakeholder engagement activities, log and status of any complaints received.</p>	<p>Submit six-monthly reports to the World Bank during implementation of the Activities commencing after the Effective Date.</p> <p>Submit each report to the World Bank no later than 15 days after the end of each reporting period.</p>	<p>Project Management Unit (PMU) within Tuvalu Fisheries Department: PMU Project Manager &amp;/or PMU Project Environment and Social Specialist. Support to be provided by the Central Project Management Office (CPMO) as appropriate.</p>
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the World Bank of any incident or accident related to the Activities which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the World Bank's request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the World Bank no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the World Bank within a timeframe acceptable to the World Bank.</p>	<p>PMU Project Manager &amp;/or PMU Project Environment and Social Specialist. Support to be provided by CPMO as appropriate.</p>
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>Hire or appoint an Environment and Social Specialist to support management of ESHS risks and impacts of the Activities.</p>	<p>Hire or appoint an Environment and Social Specialist within 30 days of PPA effectiveness, and thereafter maintain this position throughout the implementation of the Activities.</p>	<p>PMU supported by CPMO.</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.2	<p><b>TECHNICAL ASSISTANCE</b></p> <p>Ensure that the consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance activities under the Activities, including Environment and Social Management Plan (ESMP), Labour Management Procedures (LMP) and Stakeholder Engagement Plan (SEP) to be supported under the TA are carried out in accordance with terms of reference acceptable to the World Bank, that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference and are consistent with the ESSs.</p>	<p>The technical assistance activities are carried out consistent with the ESSs throughout the Activities implementation.</p> <p>The respective TOR's and outputs shall be submitted to the World Bank for prior review and no objection throughout the implementation of Activities</p> <p>IA or relevant PMU to supervise compliance of consultants throughout implementation of the Activities</p>	PMU supported by CPMO.
1.3	<p><b>PERMIT, CONSENTS AND AUTHORIZATIONS</b></p> <p>Develop and acquire any permits, consents and authorizations applicable to the PPA from relevant Government and traditional - customary authorities and manage compliance.</p>	Acquire and maintain documents and records during and throughout implementation of the Activities.	PMU supported by CPMO.
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			

2.1	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <p>Ensure that Activities workers are engaged in the implementation of the Activities consistent with ESS2. To this end, ensure that the following measures are carried out:</p> <ul style="list-style-type: none"> <li>a) Provide Activities workers with information and documentation that is clear and understandable regarding their terms and conditions of employment through written contracts setting out their rights, including, inter alia, rights related to hours of work, wages, overtime, compensation and benefits, as well as written notice of termination of employment, and details of severance payments, as applicable;</li> <li>b) Maintain adequate labor management processes for Activities workers, in accordance with ESS2. Such processes shall include measures to, inter alia: (i) prevent the use of all forms of forced labor and child labor; and (ii) enable Activities workers to benefit from, inter alia, the timely identification of occupational health and safety risks and adoption of measures to address them; access to grievance and redress mechanisms without fear of retaliation; and effective freedom to form and join workers organizations or alternative mechanisms for expressing their concerns and protect their rights related to labor and working conditions;</li> <li>c) Implement occupational health and safety (including personal protective equipment, and emergency preparedness and response) measures, taking into account the General Environmental, Health and Safety Guidelines (EHSGs), and other relevant Good International Industry Practice (GIIP) and, as appropriate, the industry-specific EHSGs and other Good International Industry Practice (GIIP);</li> <li>d) Develop a code of conduct for Activities workers, which shall include measures to prevent and respond to SEA and SH cases; and</li> <li>e) Incorporate the relevant requirements above in the ESHS specifications of the procurement documents and contracts with third parties that engage Activities workers.</li> </ul>	Carry out the measures throughout the Activities implementation.	PMU supported by CPMO.
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MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.2	<p><b>GRIEVANCE REDRESS MECHANISM FOR WORKERS</b></p> <p>Utilise existing PROP PMU GRMs for PPA Project workers/consultants to be consistent with ESS2 and ensure disclosure of these mechanisms as per action described in the SEP.</p>	Maintain the relevant GRM throughout implementation of the Activities. Existing PMU GRs to be utilised for the PPA	PMU supported by CPMO.
2.3	<p><b>OCCUPATIONAL HEALTH &amp; SAFETY (OHS) MEASURES</b></p> <p>Screening of OHS risks will be conducted and Job Safety Analyses prepared prior to site visits. Specific issues may relate to working in remote areas, travelling in small water craft, working on road edges at risk of vehicle collisions, working around commercial ports, working in the heat, protection from diseases (including COVID), engaging with community representatives and illnesses.</p> <p>Adopt, implement and update OH&amp;S measures throughout implementation of the Activities. Implement existing COVID 19 site visit procedures developed by the Environment and Social Specialist Relevant IA and PMU to facilitate implementation of procedures with staff and consultants</p>	Adopt, implement and update any OHS requirements including COVID 19 updates during and throughout implementation of the Activities	PMU supported by CPMO.
2.4	<p><b>WORKERS CODE OF CONDUCT</b></p> <p>Develop, adopt, implement and update the PPA code of conduct to all project workers (direct and/or contracted)</p>	Adopt, implement and update Codes of Conduct (COCs) as necessary	PMU supported by CPMO.
2.5	<p><b>PROJECT WORKERS (DIRECT AND/OR CONTRACTED) TRAINING</b></p> <p>Adopt, implement and update the Projects code of conduct for workers (advisors) as in the Projects documents. Facilitate briefings for all staff and consultants on requirements for COCs and ensure all COCs are included in consultants and staff contracts</p>	Adopt, implement (deliver) and update the COCs and facilitate the briefing of staff and consultants throughout the implementation of the Activities.	PMU supported by CPMO.
<b>ESS 3 to ESS9</b>			
Relevant aspects of these standards shall be considered in the technical assistance activities under action 1.2. above, as relevant.			
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
10.1	<p><b>STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b></p> <p>Incorporate stakeholder engagement and information disclosure measures in the implementation of the Activities, in a manner consistent with ESS10.</p> <p>To this end, prepare, consult, adopt, disclose, and implement a Stakeholder Engagement Plan for this Activities (the “Activities SEP”)</p>	Implement the stakeholder engagement activities throughout the implementation of the Activities	PMU supported by CPMO.
10.2	<p><b>GRIEVANCES</b></p> <p>Receive and facilitate resolution of concerns and grievances in relation to the Activities, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Activities-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p>	Throughout implementation of the Activities.	PMU supported by CPMO.
<b>CAPACITY SUPPORT (TRAINING)</b>			
CS1	<p><b>STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b></p> <p>Workers (direct and/or contracted) to receive briefing or short training sessions (where risks deem it necessary) on the COCs, OHS the SEP (including the GRM) and any other ESHS instruments that are developed for the implementation of the PPA including any relevant COVID 19 information</p>	As required, prior to work commencing, and throughout implementation of the Activities as new workers are recruited	PMU supported by CPMO.